# Evaluation of $1/\nu$ Transport and Parallel Current Density in Stellarators - A Unified Approach\*

Winfried Kernbichler<sup>1</sup>, Viktor V. Nemov<sup>2</sup>, Sergei V. Kasilov<sup>2</sup>, Martin F. Heyn<sup>1</sup>

<sup>2</sup>Institut für Theoretische Physik, Technische Universität Graz, Petersgasse 16, A–8010 Graz, Austria

<sup>1</sup>Institute of Plasma Physics, National Science Center "Kharkov Institute of Physics and Technology", Ul. Akademicheskaya 1, 61108 Kharkov, Ukraine

### Introduction

In the present paper, using an analytic solution of the linearized drift kinetic equation in the long-mean-free-path regime, formulas for neoclassical transport coefficients and for the parallel current density are obtained for stellarator configurations with realistic magnetic field geometry. As in the standard neoclassical theory, for the solution of the linearized drift kinetic equation, the deviation of the distribution function from a Maxwellian is expanded into a series with respect to the collision frequency. The leading order term in this expansion is proportional to  $1/\nu$ . This leading term is sufficient to obtain the particle and energy fluxes in this regime. In [1], this term is calculated taking into account all classes of trapped particles. Finally, the results are presented in a form containing a line integral along the magnetic field line and an integration over the perpendicular adiabatic invariant of trapped particles.

For the calculation of the parallel current density, also the next term in the expansion over the collision frequency is necessary. In contrast to  $1/\nu$  transport, where the contribution of multiply trapped particles within many local magnetic field minima is small, they play an essential role in the formation of the parallel current density. In [2], a method to calculate the bootstrap current is proposed which utilizes Boozer coordinates and which is also based on a line integration along the magnetic field line. Here, this procedure is generalized in two ways: (i) the contribution of trapped particles is taken into account; and (ii) calculations can also be done directly in real space coordinates. As a consequence of (i) also the local current density can be calculated and can be shown to be consistent with results obtained from ideal MHD equilibrium equations. In addition, also the problem with the interpretation of the boundary condition at the trapped-passing boundary in [2] is solved.

The method is very flexible and can be used in cases when only a real space realization of the magnetic field is available as well as in cases when a Boozer representation exists. The second case is especially interesting for stellarator optimization studies because results can be obtained numerically on a very fast time scale.

#### **Basic formula and parameters**

The starting point is the linearized drift-kinetic equation in the long-mean-free-path regime with a simplified Lorentz collision operator which describes pitch angle scattering but does not conserve momentum,

$$\sigma \frac{\partial \tilde{f}}{\partial s} + \frac{V^{\psi}}{|v_{\parallel}|} \frac{\partial f_M}{\partial \psi} = 4\nu A \frac{\partial}{\partial J_{\perp}} \left( \frac{|v_{\parallel}| J_{\perp}}{B} \frac{\partial \tilde{f}}{\partial J_{\perp}} \right), \tag{1}$$

where  $\sigma$  is the sign of parallel velocity, s is the distance measured along the magnetic field line,  $\psi$  is the magnetic surface label,  $V^{\psi} = \mathbf{V} \cdot \nabla \psi$  is a radial component of the drift velocity,

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 $v_{\parallel}^2 = v^2 - J_{\perp}B$ ,  $J_{\perp} = v_{\perp}^2/B$  is the perpendicular adiabatic invariant, B is the magnetic field module,  $f_M$  is the Maxwellian distribution function, and  $\nu A$  is the pitch-angle scattering frequency. As discussed in [2], for small magnetic field modulations within the magnetic surface, the momentum preserving term will change the resulting value of the average parallel current by a factor which is weakly dependent on the magnetic field geometry and, therefore, can be taken from tokamak theory. The solution to (1) is looked for in a series expansion with respect to the collision frequency,

$$\tilde{f} = f_{-1} + g_0 + f_0 + g_1 + f_1 + \dots,$$
 (2)

where  $f_k, g_k \sim \nu^k$  and  $f_k$  is constant whereas  $g_k$  varies along the magnetic field line. In [1] we obtained the leading order term in this expansion  $f_{-1}$  taking into account all possible classes of trapped particles. The leading term is enough to obtain the particle and energy fluxes in the  $1/\nu$  regime. In the present work we also derive  $g_0$  and  $f_0$  which are necessary for the computation of the parallel current density. The problem in the interpretation of the boundary condition at the trapped-passing boundary in [2] where the "last" class of trapped particles cannot be identified, does not appear if instead of an irrational surface one first considers a rational surface. In this case, the number of classes of trapped particles stays finite, and the boundary conditions are clearly defined. Then, the irrational surface can be considered as a limit case of a "true" rational surface [3] which satisfies the closure condition for the equilibrium currents (Pfirsch-Schlüter),

$$Y_{PS}(L) = 0, Y_{PS}(s) = \int_{0}^{s} ds' \frac{|\nabla \psi| k_G}{B^2},$$
 (3)

where L is the full magnetic field period and  $k_G = (\mathbf{h} \times (\mathbf{h} \cdot \nabla)\mathbf{h}) \cdot \nabla \psi / |\nabla \psi|$  is the geodesic curvature of the magnetic field line. When (3) is satisfied, the expression for the local parallel current density is convergent and has the following form,

$$\frac{j_{\parallel}}{B} = -c \lambda_{\parallel} \frac{1}{B_0^2} \frac{dp}{dr}, \qquad \lambda_{\parallel} = \lambda_{PS}(s) + \lambda_B, \tag{4}$$

where c is the speed of light, p is the plasma pressure, and  $B_0$  is some reference magnetic field. The radial derivative of the pressure and the magnetic surface averages of any function A of spatial coordinates are given by

$$\frac{dp}{dr} \equiv \frac{dp}{d\psi} < |\nabla \psi| >, \qquad < A > = \lim_{L \to \infty} \left( \int_{0}^{L} \frac{\mathrm{d}s}{B} \right)^{-1} \int_{0}^{L} \mathrm{d}s \frac{A}{B}. \tag{5}$$

The dimensionless quantities  $\lambda_{PS}$  and  $\lambda_{B}$  in (4) which characterize the magnetic field geometry are

$$\lambda_{PS}(s) = \frac{2B_0^2}{\langle |\nabla \psi| \rangle} [Y_{PS}(s) - Y_{PS}(s_m)] , \qquad (6)$$

$$\lambda_{B} = \frac{3B_{0}^{2}}{8 < |\nabla \psi| > \lim_{L \to \infty} \frac{1}{v^{3}} \int_{0}^{J_{\perp min}^{(abs)}} dJ_{\perp} J_{\perp}^{2} \left[ \frac{1}{I_{L}} \int_{0}^{L} ds \frac{|v_{\parallel}|}{B} Y_{B}(s) - Y_{B}(s_{m}) \right] , \quad (7)$$

$$Y_B(s) = \int_0^s ds' \frac{B|\nabla \psi|k_G}{|v_{\parallel}|^3} , \qquad I_L = \int_0^L ds \frac{|v_{\parallel}|}{B} , \qquad (8)$$

where  $J_{\perp min}^{(abs)}=v^2/B_{max}^{abs}$  corresponds to the trapped-passing boundary,  $B_{max}^{abs}$  is the global maximum of B on the particular magnetic field line, and  $s_m$  is the position of this maximum. For L being big enough,  $B_{max}^{abs}$  approaches the global maximum of the magnetic field on the surface. The integration along the magnetic field line must be performed simultaneously with the  $\nabla \psi$  calculation actually done by solving additional linear differential equations when integrating along the field line (see, e.g., [1]).

Contrary to  $\lambda_B$ , the quantity  $\lambda_{PS}$  is a function of s. One can show that the varying part of  $\lambda_{PS}$  which corresponds to the Pfirsch-Schlüter current, is the same as it is obtained from ideal MHD equilibrium equations. However, those equations do not restrict the constant part of the parallel current. The missing constant part of  $j_{\parallel}/B$  is given by an average value of  $j_{\parallel}/B$ . Two definitions of this average are commonly used. The first one, more suitable for equilibrium studies, corresponds to the toroidal current density averaged over the area between two close magnetic surfaces. It is obtained from (4) if  $\lambda_{\parallel}$  is replaced with  $\lambda_{b1}$ ,

$$\lambda_{b1} = \frac{\langle \lambda_{\parallel} B^{\phi} \rangle}{\langle B^{\phi} \rangle} = \frac{\langle \lambda_{PS} B^{\phi} \rangle}{\langle B^{\phi} \rangle} + \lambda_{B}, \tag{9}$$

where  $B^{\varphi} = \mathbf{B} \cdot \nabla \varphi$  is the toroidal contravariant component of the magnetic field and with surface averages defined in (5). The second definition used in [2] corresponds to the case when the average parallel current vanishes completely in the Pfirsch-Schlüter regime,

$$\lambda_{b2} = \frac{\langle \lambda_{\parallel} B^2 \rangle}{\langle B^2 \rangle} = \frac{\langle \lambda_{PS} B^2 \rangle}{\langle B^2 \rangle} + \lambda_B. \tag{10}$$

For comparison, one can transform the expression for the bootstrap current derived in magnetic coordinates in [2] to real-space coordinates. The resulting expression would yield instead of  $\lambda_{b2}$  the quantity  $\lambda_{bB}$ . The difference between the two results,  $\delta\lambda_b = \lambda_{bB} - \lambda_{b2}$  is given as

$$\delta \lambda_b = \frac{2B_0^2}{\langle |\nabla \psi| \rangle} \left[ \frac{\langle Y_\delta(s)B^2 \rangle}{\langle B^2 \rangle} - Y_\delta(s_m) \right], \tag{11}$$

$$Y_{\delta}(s) = \int_{0}^{s} \mathrm{d}s' \frac{|\nabla \psi| k_G}{B^2} \left(1 - \frac{B}{B_{max}^{abs}}\right)^{3/2}. \tag{12}$$

In accordance with the estimate performed in [2], this quantity is small compared to  $\lambda_{b2}$  if the modulation amplitude of the magnetic field module within the magnetic surface is small.

## **Results**

The proposed technique has been applied to two magnetic configurations, a simplified l=3 configuration with parameters of the Uragan-3M torsatron (U-3M) and the vacuum W7-X configuration. For the magnetic field, the representation in real space coordinates has been used (see [4] for details). To simplify the comparison, we introduce the normalized quantity  $\hat{\lambda}_{b1} = \lambda_{b1} \iota \sqrt{r/R}$  where  $\iota$  is the rotational transform angle in  $2\pi$  units, r is an average radius and R is the big radius of the torus. This quantity is unity for a tokamak with a large aspect ratio. The results of the calculation are shown in Fig. 1. The simplified U-3M configuration represents a standard stellarator with both the helical modulation and rotational transform rapidly decreasing towards the magnetic axis. The bootstrap current for this configuration is close to that of a tokamak as can be seen from the behavior of  $\hat{\lambda}_{b1}$  which is positive and is close to unity in a major part of the considered region. At the same time, the W7-X configuration has been

optimized in order to reduce the bootstrap current. For this configuration  $|\hat{\lambda}_{b1}|$  does not exceed 0.25 and at the outer region this quantity changes sign from negative to positive values.

Computational results for  $1/\nu$  transport are given in Fig. 2 for various stellarator configurations. The dimensionless effective ripple amplitude  $\epsilon_{\rm eff}^{3/2}$  (see [1]) is presented as function of the mean magnetic surface radius r expressed in units of the big torus radius or, for HSX, in units of the radius were the magnetic axis is located. For comparison, also the results for the quasi-helically symmetric configuration (QHS) and the drift-optimized version of CHS are shown. A detailed discription of the configurations and the pertinent results can be found in Ref. [4].

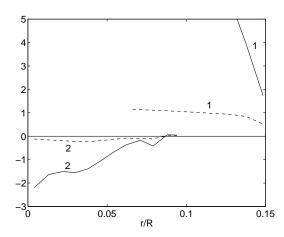


Fig. 1. Parameters  $\lambda_{b1}$  (solid) and  $\hat{\lambda}_{b1}$  (dashed) for Uragan-3M (label 1) and W7-X (label 2) as a functions of the aspect ratio r/R.

Fig. 2. Parameter  $\epsilon_{\rm eff}^{3/2}$  vs. mean magnetic surface radius for the HSX (1), HSX-M (2), W7-X (3), QHS (4), and the drift-optimized CHS (5) configurations.

# **Summary**

A technique for calculating the parallel equilibrium plasma current with the magnetic configurations given in real space coordinates has been developed. Basically, the method is similar to the method proposed in [2] where the magnetic configuration is given in Boozer coordinates. In addition, the contribution of trapped particles which had been neglected in [2] is recovered in the present work. As a result, the local current density calculated in this way is shown to be consistent with the results obtained from ideal MHD equilibrium equations. Results are also given for  $1/\nu$  transport in various devices, derived along the line of the same unified approach [1].

# References

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